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FE 1763

PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

36

Application Number

10/501,791

Filing Date

July 2, 2004

First Named Inventor

Yoshifumi Kachi

Art Unit

1763

Examiner Name

Ram N. Kackar

Attorney Docket Number

39.026-AG

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

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Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☐Other Enclosure(s) (please identify  
below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Judge Patent Firm

Signature

Printed name

James W. Judge

Date

Dec 28, 2005

Reg. No.

42,701

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

App. No. : 10/501,791 Confirmation No. 6914  
Applicant : Yoshifumi Kachi, et al.  
Filed : July 2, 2004  
T.C./A.U. : 1763  
Examiner : Ram N. Kackar  
Docket No. : 39.026-AG  
Customer No. : 29453

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The attached documents listed on the accompanying Form PTO/SB/08 are hereby brought to the Examiner's attention in the patent application identified above.

**REMARKS**

All of the presently submitted references, i.e., Cite Nos. F1 through F3 as listed on Form PTO/SB/08, are not in English. These references were cited in a communication from the Japanese Patent Office in a counterpart Japanese application.

**Concise Explanation of Relevance/Copy of Translation**  
**Pursuant to 37 C.F.R. § 1.98(a)(3)**

As a concise explanation of the relevance of the presently submitted Japanese-language references, an English translation of the Japanese Patent Office communication in its entirety is submitted herewith. The JPO communication was in Japanese Pat. App. No. 2002-309388, the foreign patent application on the basis of which priority is claimed in the present application. (A copy of the Japanese Patent Office communication in its entirety follows the English translation.)

Furthermore, English abstracts of Cite Nos. F1 through F3 are available on the Japanese Patent Office website through Patent Abstracts of Japan (PAJ), and a copy of the corresponding abstract is attached to the back of each reference.

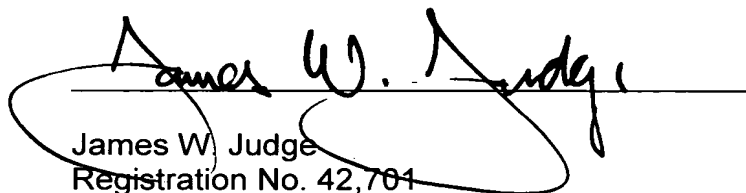
**STATEMENT AS SPECIFIED IN 37 C.F.R. § 1.97(e)**

The undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

The Examiner is respectfully requested to consider these references, and to indicate so on the accompanying Form PTO/SB/08 and make the document of record in the present application.

Respectfully submitted,

Date: Dec. 28, '05

  
James W. Judge  
Registration No. 42,701

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/501,791
Filing Date	July 2, 2004
First Named Inventor	Yoshifumi Kachi
Art Unit	1763
Examiner Name	Ram N. Kackar
Attorney Docket Number	39,026-AG

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
	F1	JP-2002-252269-A	09-06-2002	Toshiba Ceramics		✓
	F2	JP-2001-244320-A	09-07-2001	Ibiden		✓
	F3	JP-2001-274103-A	10-05-2001	Sumitomo Electric		✓

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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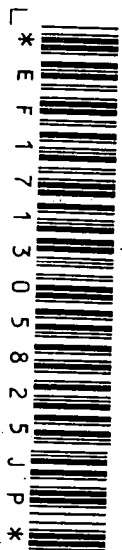
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